

REQUEST FOR ESTABLISHMENT / UPDATE OF A BUSINESS RELATIONSHIP

Pursuant to the current Anti Money Laundering and Terrorism Financing Act, the Bank is obligated to collect the following data and documents.

1. ENTITY DETAILS		
Name:		
Entity's taxpayer ID number (OIB):		
Head office address (street, house number, city, country):		
Mailing address (street, house number, city, country):		
Entity Register Number (State Bureau of Statistics):	Main business activity (description):	
2. OWNER DETAILS		
Name and surname:		Date of birth:
Citizenship/(s):		
Mobile phone:	Telephone:	E-mail:
Permanent residence address (street and house number, city and postal code, country):		
Identification document: <input type="checkbox"/> Personal identity card <input type="checkbox"/> Passport <input type="checkbox"/> Residence permit		Identification document's no.: Identification document issued by:
3. ADDITIONAL CONTACT PERSONS		
Name and surname:		Name and surname:
Telephone:		Telephone:
Mobile phone:		Mobile phone:
E-mail:		E-mail:
4. THE BANK IS PURSUANT TO THE ANTI MONEY LAUNDERING AND COUNTER TERRORISM FINANCING ACT OBLIGATED TO COLLECT DATA FOR CLIENT DUE DILIGENCE PURPOSES. PLEASE ANSWER THE QUESTIONS BELOW:		
Purpose of establishing a business relationship with the Bank: <input type="checkbox"/> opening a transaction account / establishment of a business relationship <input type="checkbox"/> data update		
5. ACCORDING TO THE DECISION ON THE MANNER OF OPENING TRANSACTION ACCOUNTS, PLEASE CHECK THE TYPES OF TRANSACTION ACCOUNTS YOU REQUEST TO BE OPENED*:		
Regular business account: <input type="checkbox"/> EUR <input type="checkbox"/> in foreign currency - please specify foreign currencies: Other account defined by law (e.g. Execution-exempt account, special-purpose account) – please specify the law based on which the account is opened: <input type="checkbox"/> EUR <input type="checkbox"/> in foreign currency - please specify foreign currencies: Account of an organisation unit of the used (please specify): <input type="checkbox"/> EUR <input type="checkbox"/> in foreign currency - please specify foreign currencies: Other account (special-purpose account for the collection of joint budget income, budget account, account of other payment service providers) <input type="checkbox"/> EUR <input type="checkbox"/> in foreign currency - please specify foreign currencies: <i>*To be filled in only when establishing a business relationship</i>		
6. WHAT KIND OF TRANSACTIONS THROUGH ACCOUNTS HELD WITH THE OTP BANK WILL BE PERFORMED?		
<input type="checkbox"/> a) cash transactions	<input type="checkbox"/> b) domestic cashless transactions	<input type="checkbox"/> c) savings and investment
<input type="checkbox"/> d) cross border payments	<input type="checkbox"/> e) credit operations	<input type="checkbox"/> f) documentary operations
<input type="checkbox"/> g) other Please indicate other:		

6a. SPECIFY THE EXPECTED ANNUAL AMOUNT OF CASH PAYMENTS, EXCLUDING CASH TAKINGS:			
6b. SPECIFY THE EXPECTED ANNUAL AMOUNT OF CASH WITHDRAWALS:			
6c. WHAT IS THE PURPOSE OF ANNOUNCED CASH TRANSACTIONS?			
6d. SPECIFY THE EXPECTED ANNUAL CROSS-BORDER TRANSACTIONS (INFLOWS AND OUTFLOWS):			
6e. WITH WHICH COUNTRIES THE CROSS-BORDER TRANSACTIONS WILL TAKE PLACE?			
7. WHAT IS EXPECTED ANNUAL INFLOW ON ACCOUNTS HELD WITH THE OTP BANK?			
<input type="checkbox"/> a) up to 70.000,00 EUR	<input type="checkbox"/> b) up to 140.000,00 EUR	<input type="checkbox"/> c) up to 270.000,00 EUR	<input type="checkbox"/> d) up to 700.000,00 EUR
<input type="checkbox"/> e) up to 1.000.000,00 EUR	<input type="checkbox"/> f) up to 1.400.000,00 EUR	<input type="checkbox"/> g) up to 2.800.000,00 EUR	<input type="checkbox"/> h) up to 4.700.000,00 EUR
<input type="checkbox"/> i) up to 6.700.000,00 EUR	<input type="checkbox"/> j) up to 13.500.000,00 EUR	<input type="checkbox"/> k) above 13.500.000,00 EUR	
8. SOURCE OF FUNDS SUBJECT TO THE BUSINESS RELATIONSHIP:			
9. DO YOU WORK OR INTEND TO WORK WITH VIRTUAL CURRENCIES (YES/NO)?			
10. NUMBER OF EMPLOYEES:			
11. PAYMENT TRANSACTIONS (to be filled in only when opening a transaction account or changing the existing method):			
<input type="checkbox"/> Bank <input type="checkbox"/> FINA (branch office)			
12. METHOD OF RECEIVING INFORMATION ON ACCOUNT BALANCE AND TURNOVER: (to be filled in only when opening a transaction account or changing the existing method):			
STATEMENT OF ACCOUNT:			
BANK		FINA	
<input type="checkbox"/> e-mail (.pdf):	Specify e-mail Specify e-mail	<input type="checkbox"/> file	
<input type="checkbox"/> file: <input type="checkbox"/> HUB format (.txt)* <input type="checkbox"/> camt.053* <input type="checkbox"/> MT940 <input type="checkbox"/> MT942	Specify e-mail Specify e-mail SWIFT: SWIFT:	<input type="checkbox"/> FINA e-payment <input type="checkbox"/> INFO FINA <input type="checkbox"/> FINA online	
<input type="checkbox"/> by mail to the entity's address:	Specify address	<input type="checkbox"/> camt.053	
<input type="checkbox"/> by mail to another address:	Specify address	<input type="checkbox"/> print-out in FINA branch	
<input type="checkbox"/> print-out in BANK branch:	Specify branch		
Frequency of sending a statement of account:			
<input type="checkbox"/> upon any change	<input type="checkbox"/> weekly	<input type="checkbox"/> 15 daily*	<input type="checkbox"/> monthly
INVOICE FOR THE CALCULATED FEE:			
<input type="checkbox"/> accounts in EUR currency			
<input type="checkbox"/> e-mail (.pdf)	Specify which (possible more)		
<input type="checkbox"/> by mail to the entity's address:	Specify which		
<input type="checkbox"/> by mail to another address:	Specify which		
<input type="checkbox"/> e-Invoice**			
<input type="checkbox"/> Information on collected fees in foreign currency payment operations (monthly)			
<input type="checkbox"/> e-mail (.pdf)	Specify which (possible more)		
<small>Delivery of statements and invoices for the calculated fee by OTP banka d.d. is not possible if the client performs payment transactions through FINA. * The frequency of sending FINA statements cannot be every 15 days, the frequency of sending HUB form and camt.053 form by the Bank can only be daily ** The format for receiving invoices for the calculated fee for public procurement companies can only be e-Invoice; other formats are not possible for contracting. Fees are charged in line with the Decision on Pricing</small>			
13. PLEASE SPECIFY BUSINESS PARTNERS*:			
Suppliers (name, registered office, country of registered office)		Buyers (name, registered office, country of registered office)	
<i>*if it is a newly established company without a list of buyers/suppliers at the time of establishing a business relationship, it is not necessary to specify them</i>			

14. APPLICATION FOR ISSUANCE OF A VISA CLASSIC BUSINESS DEBIT CARD			
Designated cardholder 1		Designated cardholder 2	
Name and surname:		Name and surname:	
Taxpayer ID number:	Date of birth:	Taxpayer ID number:	Date of birth:
Mobile phone:		Mobile phone:	
Daily cash withdrawal cap*:		Daily cash withdrawal cap*:	
Daily spending cap*:		Daily spending cap*:	
Delivery of the card to the branch (specify):		Delivery of the card to the branch (specify):	
The PIN delivery address is the same as the head office address: <input type="checkbox"/> YES <input type="checkbox"/> NO		The PIN delivery address is the same as the head office address: <input type="checkbox"/> YES <input type="checkbox"/> NO	
PIN delivery address (street, house number, city, country):		PIN delivery address (street, house number, city, country):	
Signature:		Signature:	

*Daily cash withdrawal cap is set at EUR 600,00, and the daily spending cap is set at EUR 1.400,00.

Declaration

- I confirm that all information and statements made in this form are correct and complete to the best of my knowledge and belief. I confirm the details of each non-resident controlling person have been correctly indicated where applicable;
- I authorize the Bank to provide a copy of this confirmation, or any other information necessary for establishing my tax status to any competent tax authority, any authority empowered to audit or control the Bank for tax purposes as well as any legal entity belonging to OTP Group at the time of disclosure;
- I agree that any information contained in this confirmation including information on controlling persons and any information regarding his/her current and future financial account(s), including their balance(s) and income revenues transactions, may be reported to any authority to which the Bank is required to provide tax-related information;
- I agree that I will submit a new confirmation form to the Bank within 90 days if any information in this confirmation form changes or becomes incorrect, including information on controlling persons;
- I confirm to be aware of the General Terms & Conditions of Providing Payment Services to Non-Consumers, which I acknowledge and accept by my signature;
- I confirm that the management have been advised of and consent to the use of their personal data and accept the General Terms & Conditions of Providing Payment Services to Non-Consumers.

I certify that I am the Account Holder (or authorized to sign for the Account Holder) of all the accounts concerned by this form.

I certify that I have capacity to sign for the Legal Entity:

Signature: _____ Name and surname: _____
Capacity: OWNER Date (DD-MM-GGGG): _____

REQUEST RECEIPT DATA (to be completed by the Bank)
Request received on:
Branch name:
Employer's first and last name, signature:

Data collected in this Request shall be considered a banking secret and treated by OTP banka d.d. according to the statutory data secrecy obligation.

Personal data collected in this document are mandatory for the Bank to be able to precisely identify and determine your tax status according to valid regulations. The Bank may use these data as well as those collected subsequently for business management purposes, in particular for risk management, incident and fraud prevention, know-your-client purposes and money laundering prevention. Contact details of the client or contact persons (the client selects at least one of the offered communication channels of their own choice) are collected based on the assessment of the Bank's legitimate interest which is in balance with the data subject's rights and freedoms.

Principles and rules of personal data processing are regulated by the Bank's Data Privacy Policy regulates, which is publicly available on the Bank's web site www.otpbanka.hr as well as in all branch offices of the Bank at the data subject's request. In addition, detailed information on the Collection of Data and Information on the Collection of Data Not Obtained Directly from the Data are available on the Bank's website and in all branch offices of the Bank.